
Brandon M. Williams

Item 2: Educational Background and Business Experience

CRD (Central Registration Depository) Number: 8093238

Year of Birth: 1997

Formal Education:

- ▶ Presbyterian College, BA, 2021

Business Background:

- ▶ Associate Advisor, Greenwood Capital, Nov 2025 to present
- ▶ Investment Advisor Representative, Wallick Investment, LLC, May 2025 to Nov 2025
- ▶ Columbia Playmakers, Coach, Oct 2021 to May 2025



Item 3: Disciplinary Information

Mr. Williams has not been the subject of any material legal or disciplinary event.

Item 4: Other Business Activities

Mr. Williams is not engaged in any business activities other than those related to Greenwood Capital.

Item 5: Additional Compensation

Mr. Williams is eligible to receive a percentage of the advisory fee paid to us by clients obtained because of her direct or indirect efforts as an incentive to bring new and maintain business under our management. In addition, Mr. Williams is eligible to receive cash referral bonuses as part of the relationship with Greenwood Capital's parent company, TCB Corporation, for successful client referrals made to any of Greenwood Capital's related entities. Mr. Williams may also receive a bonus that is based, all or in part, on the number or amount of sales, client referrals, or new accounts.

Item 6: Supervision

Mr. Williams is supervised through Greenwood Capital's policies and procedures, including adherence to the firm's Code of Ethics. Greenwood Capital monitors the advice Mr. Williams provides to clients through the following supervisory reviews:

- ▶ A review of relevant account opening documentation when the relationship is established,
- ▶ Review of relevant account-opening documentation at the establishment of the client relationship;
- ▶ Ongoing review of client account transactions on a daily basis;
- ▶ Quarterly review of custodial information to assess account activity;
- ▶ Annual supervisory oversight to confirm continued understanding of each client's financial situation, investment objectives, and individual investment needs; and,
- ▶ Review of client correspondence on an as-needed basis.