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## C. Will Bond, IV

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### *Item 2: Educational Background and Business Experience*

CRD (Central Registration Depository) Number: 5608928

Year of birth: 1978

**Formal education:**

- ▶ Lander University, BS – Business Administration, 2002

**Business Background:**

- ▶ Senior Trading Manager, Greenwood Capital, March 2019 to present
- ▶ Trading Operations Manager, Greenwood Capital, Sep 2011 to March 2019
- ▶ Assistant Vice President/Trader, Greenwood Capital, Dec 2002 to Sep 2011



### *Item 3: Disciplinary Information*

Mr. Bond has not been the subject of any material legal or disciplinary event.

### *Item 4: Other Business Activities*

Mr. Bond is not engaged in any business activities other than those related to Greenwood Capital.

### *Item 5: Additional Compensation*

As a direct owner of Greenwood Capital Associates, LLC, Mr. Bond receives a share of profits based upon his percentage of ownership. Mr. Bond is eligible to receive a percentage of the advisory fee paid to us by clients obtained because of his direct or indirect efforts as an incentive to bring new and maintain business under our management. In addition, Mr. Bond is eligible to receive cash referral bonuses as part of the relationship with Greenwood Capital's parent company, TCB Corporation, for successful client referrals made to any of Greenwood Capital's affiliated entities. Mr. Bond may also receive a bonus that is based, all or in part, investment performance, the number or amount of sales, client referrals, or new accounts.

### *Item 6: Supervision*

Mr. Bond is supervised through Greenwood Capital's policies and procedures, including adherence to the firm's Code of Ethics. Greenwood Capital monitors the advice Mr. Bond provides to clients through the following supervisory reviews:

- ▶ Ongoing daily review of account transactions;
- ▶ Quarterly review of custodial information to assess account activity;
- ▶ Review of client correspondence on an as-needed basis.