
Justin R. Bartanus

Item 2: Educational Background and Business Experience

CRD (Central Registration Depository) Number: 7005996

Year of birth: 1991

Formal education:

- ▶ Erskine College, BS - Biology, 2013
- ▶ Baylor College of Medicine, MS – Molecular and Human Genetics, 2017

Business Background:

- ▶ Research Analyst, Greenwood Capital, Jul 2018 to Present
- ▶ Independent Contractor, Greenwood Capital, Dec 2017 to Jul 2018
- ▶ Grad Student, Baylor College of Medicine, Aug 2013 to March 2017



Item 3: Disciplinary Information

Mr. Bartanus has not been the subject of any material legal or disciplinary event.

Item 4: Other Business Activities

Mr. Bartanus is not engaged in any business activities other than those related to Greenwood Capital.

Item 5: Additional Compensation

Mr. Bartanus is eligible to receive a percentage of the advisory fee paid to us by clients obtained because of his direct or indirect efforts as an incentive to bring new and maintain business under our management. In addition, Mr. Bartanus is eligible to receive cash referral bonuses as part of the relationship with Greenwood Capital's parent company, TCB Corporation, for successful client referrals made to any of Greenwood Capital's affiliated entities. Mr. Bartanus may also receive a bonus that is based, all or in part, investment performance, the number or amount of sales, client referrals, or new accounts.

Item 6: Supervision

Mr. Bartanus is supervised through Greenwood Capital's policies and procedures, including adherence to the firm's Code of Ethics. Greenwood Capital conducts ongoing monitoring of research-related activities and support functions;

- ▶ Periodic review of custodial and account information, as applicable, in connection with research analysis and portfolio support; and
- ▶ Review of written and electronic correspondence on an as-needed basis to ensure compliance with firm policies and regulatory requirements.