

## *Item 2: Educational Background and Business Experience*

**CRD (Central Registration Depository) Number:** 7265565

**Year of Birth:** 1996

### **Formal Education:**

- ▶ Clemson University, BS, 2019

### **Business Background:**

- ▶ Associate Advisor, Greenwood Capital, Dec 2024 to present
- ▶ Financial Solutions Advisor, BOA Merrill Lynch , Oct 2022 to Nov 2024
- ▶ Customer Experience Rep, Robinhood Markets, Inc, June 2022 to Aug 2022
- ▶ Customer Experience Rep, Robinhood Financial, May 2021 to Aug 2022
- ▶ Registered Adviser, The Vanguard Group Inc, July 2020 to May 2021
- ▶ Non-Registered Person, The Vanguard Group Inc., May 2020 to July 2020
- ▶ Sales & Use Tax Specialist, Robert Half International, Dec 2019 – Apr 2020
- ▶ Bankruptcy Specialist, Robert Half International, May 2019 – Aug 2019



### *Professional Designation(s):*

Chartered Retirement Planning Counselor® (CRPC) – is a professional financial planning designation awarded by the College for Financial Planning. Individuals who hold the CRPC® designation have completed a course of study encompassing pre-and post-retirement needs, asset management, estate planning and the entire retirement planning process using models and techniques from real client situations. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. Individuals who hold the CRPC® designation have completed a course of study encompassing pre-and post-retirement needs, asset management, estate planning and the entire retirement planning process using models and techniques from real client situations. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process. Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct, and complying with self-disclosure requirements.

## *Item 3: Disciplinary Information*

Mr. Minton has not been the subject of any material legal or disciplinary event.

## *Item 4: Other Business Activities*

Mr. Minton is not engaged in any business activities other than those related to Greenwood Capital.

## *Item 5: Additional Compensation*

Mr. Minton is eligible to receive a percentage of the advisory fee paid to us by clients obtained because of his direct or indirect efforts as an incentive to bring new and maintain business under our management. In addition, Mr. Minton is eligible to receive cash referral bonuses as part of the relationship with Greenwood Capital's parent company, TCB Corporation, for successful client referrals made to any of Greenwood Capital's related entities. Mr. Minton may also receive a bonus that is based, all or in part, on the number or amount of sales, client referrals, or new accounts.

## *Item 6: Supervision*

Mr. Minton is supervised by Denise H. Lollis, Chief Operating Officer and Chief Compliance Officer. Mrs. Lollis can be reached at (864) 941-4049. Mr. Minton is supervised by requiring that he adhere to our Policies and Procedures and Code of Ethics. Greenwood Capital monitors the advice that Mr. Minton provides to clients by performing the following reviews:

- ▶ A review of relevant account opening documentation when the relationship is established,
- ▶ A daily review of account transactions,
- ▶ A review of custodial information on a quarterly basis to assess account activity,
- ▶ Perform annual oversight to ensure awareness of your current financial situation, objectives, and individual investment needs,
- ▶ A review of client correspondence on an as needed basis.