Callie M. Bradshaw

Item 2: Educational Background and Business Experience

CRD (Central Registration Depository) Number: 7377436

Year of Birth: 1999

Formal Education:
- Clemson University, BA, 2021

Business Background:
- Associate Advisor, Greenwood Capital, Nov 2024 to present
- Private Client Specialist, Greenwood Capital, Aug 2022 to Nov 2024
- Associate Financial Services Rep, E*Trade Securities LLC, June 2021 to Aug 2022
- Ms. Bradshaw also held part-time positions while pursuing her secondary education.

Item 3: Disciplinary Information

Ms. Bradshaw has not been the subject of any material legal or disciplinary event.

Item 4: Other Business Activities

Ms. Bradshaw is not engaged in any business activities other than those related to Greenwood Capital.

Item 5: Additional Compensation

Ms. Bradshaw is eligible to receive a percentage of the advisory fee paid to us by clients obtained because of his direct or indirect efforts as an incentive to bring new and maintain business under our management. In addition, Ms. Bradshaw is eligible to receive cash referral bonuses as part of the relationship with Greenwood Capital's parent company, TCB Corporation, for successful client referrals made to any of Greenwood Capital's related entities. Ms. Bradshaw may also receive a bonus that is based, all or in part, on the number or amount of sales, client referrals, or new accounts.

Item 6: Supervision

Ms. Bradshaw is supervised by Denise H. Lollis, Chief Operating Officer and Chief Compliance Officer. Mrs. Lollis can be reached at (864) 941-4049. Ms. Bradshaw is supervised by requiring that she adhere to our Policies and Procedures and Code of Ethics. Greenwood Capital monitors the advice that Ms. Bradshaw provides to clients by performing the following reviews:

- A review of relevant account opening documentation when the relationship is established,
- A daily review of account transactions,
- A review of custodial information on a quarterly basis to assess account activity,
- Perform annual oversight to ensure awareness of your current financial situation, objectives, and individual investment needs,
- A review of client correspondence on an as needed basis.